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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/730,455	12/05/2000	Daniel M. Schubert JR.	2649/1	8141

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EXAMINER

BLACKWELL, JAMES H

ART UNIT	PAPER NUMBER
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2176

DATE MAILED: 07/26/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/730,455

Applicant(s)

SCHUBERT ET AL.

Examiner

James H. Blackwell

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 25 April 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 9-16 and 19-26 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 9-16 and 19-26 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 05 December 2000 is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- ☒ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: \_\_\_\_\_.

PD

### DETAILED ACTION

1. This Office Action is in response to Response filed 04/25/2005.
2. Claims 9-16, and 19-26 remain pending. Claims 9, and 19 are independent Claims.

#### ***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 9, 15, 19, and 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Miller et al. (hereinafter Miller, U.S. Patent No. 5,446,653) in view of Kupiec (U.S. Patent No. 6,533,822) and in further view of LegalPoint (LegalPoint version 1.0a software, screenshots numbered 1-8, 1993-94).

In regard to independent Claim 9 (and similarly independent Claim 19), Miller teaches (a) *a computer communicating with an electronic database* in that an insurance policy record generated by the main processor, containing a list of the insurance policy clauses and modifiers to be printed, is transmitted to the print system, e.g., via modem or on magnetic media. The print system is coupled to a printer database (25), which contains the text of all of the insurance policy clauses and endorsement clauses. The clauses identified in the insurance policy record are retrieved from the printer database, formatted, and printed by the print system (15) to provide the final, complete policy (Col. 6, lines 7-17).

Miller also teaches *(b) an input device operatively connected to said computer for entering contract information into said database* in that a main processor (13), such as a mainframe computer, interfaces with a user through a terminal (Col. 11, pages 51-53).

Miller does not specifically teach a *(c) plurality of electronic indexing tags at predetermined locations within the stored contract information and cooperating with a computer program to identify selected portions of the contract information*. However, Kupiec teaches a summary sheet (72) that includes extracted portions (82), which reflect the content of portions of the summarized document (70) and a list of keywords (88). Indicators (84) have been placed adjacent to the corresponding extracted portions (82). These indicators (84) have corresponding tabs (86) positioned on the margin of the summary sheet (72). The tabs (86) indicate the vertical position of the extracted portion in the summarized document (70) that corresponds to the indicators (84) (Col. 6, lines 62-67; Col. 7, lines 1-7).

Kupiec also teaches that the indicators of this invention include valuable navigation information as a result of the fact that the indicators include position information. Therefore, the indicators include navigation information, which is helpful to a user to navigate a document to locate the position in a document from which a particular portion of summary originated (Col. 7, lines 15-21). It would have been obvious to one of ordinary skill in the art at the time of invention to combine the teachings of Miller and Kupiec as both inventions relate to documents composed of many parts. Adding the teaching of Kupiec provides the benefit of identifying and extracting one or more key phrases from a document that characterize the document.

Neither Miller nor Kupiec teach (d) a section summary comprising a reconstruction of the contract information in layman's terms chosen by an abstractor, said summary being prepared for an associated indexed contract section. However, LegalPoint teaches creating a Real Estate Lease Agreement (screenshot Fig. 2). This generates a template Agreement containing "place holders" for specific details of the agreement to be input (Fig. 3). It is noted that there are electronic links (indexing tags) within the template agreement (see Quick Tip, Figs. 3-4) that when clicked on display, in a popup window, an explanation of the term (highlighted in green (Figs. 6-7), list an summary of the term *in layman's language*. It would have been obvious to one of ordinary skill in the art at the time of invention to combine the teachings of Miller, Kupiec, and LegalPoint as all three inventions relate to creating documents. Adding the teaching of LegalPoint assists a layman in creating a potentially incomprehensible legal document, thus saving money by avoiding the intervention of legal council.

<sup>Miller WB</sup>  
~~LegalPoint~~ does not explicitly teach that *said summary being recorded in a field electronically linked to at least one of said indexing tags, whereby a user viewing said section summary can drill down into the stored contract information to retrieve the contract section corresponding to said at least one electronically linked indexing tag.* However, Kupiec teaches an invention that generates a summary page that includes indicators that help the user find the corresponding place in the summarized document from which the summary information was extracted or to which the summary information is most related. This invention enables the user to navigate from the summary to the related locations in the document. This invention also highlights the portions of the

document that have been extracted for the summary (Col. 1, lines 28-35). The tabs as taught by Kupiec allow the user to drill down (go from general to specific information) in going from the summary to the original section of a document from which the summary was generated. It would have been obvious to one of ordinary skill in the art at the time of invention to combine the teachings of Miller, Kupiec, and LegalPoint as all three references relate to documents composed of many parts. Adding the teaching of Kupiec provides the benefit of extracting summaries with references to their original documents.

Continuing, Miller teaches that an insurance policy record generated by the main processor, containing a list of the insurance policy clauses and modifiers to be printed (*one would conclude that a section summary and contract section could be included for display*), is transmitted to the print system (*a display device*) (Col. 6, lines 7-11).

**In regard to dependent Claim 15 (and similarly to dependent Claim 25),** Miller fails to teach *said section summary comprises a copied portion of the tagged section of contract information*. However, Kupiec teaches the generation of a summary page that includes indicators that help the user find the corresponding place in the summarized document from which the summary information was extracted or to which the summary information is most related. This enables the user to navigate from the summary to the related locations in the document. It also highlights the portions of the document that have been extracted for the summary (Col. 1, lines 28-35). It would have been obvious to one of ordinary skill in the art at the time of invention to combine the teachings of Miller and Kupiec providing the benefit of summarizing documents.

5. Claims 10-14, and 20-24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Miller in view of Kupiec and in further view of Shirley et al. (hereinafter Shirley, U.S. Patent No. 5,692,206).

**In regard to dependent Claim 10 (and similarly to dependent Claim 20),** Miller fails to teach *said database comprises a plurality of contract abstracting fields adapted for being populated by the user based on data contained in the contract information*. However, Shirley teaches a contract generation system provides various standard contracts that can be customized with alternative, supplemental, and additional provisions that can be utilized with the standard contract document (Col. 2, lines 11-14). It would have been obvious to one of ordinary skill in the art at the time of invention to have combined the teachings of Miller, Kupiec, and Shirley providing the benefit of having automated the generation of a legal document.

**In regard to dependent Claim 11 (and similarly to dependent Claim 21),** Miller fails to teach *that the contract information comprises a commercial real estate lease*, as claimed. However, Miller does teach contract information that comprises an insurance policy. It would have been obvious to one of ordinary skill in the art at the time of invention to have been motivated to assume that a real estate lease and an insurance policy were both legal documents that consisted of a plurality of clauses and rules that helped to create a customized document providing the benefit of enabling the generation of contracts.

**In regard to dependent Claim 12 (and similarly to dependent Claim 22),** Miller fails to teach *said database comprises a plurality of separate electronic records*

*containing a corresponding plurality of commercial real estate leases stored in said database. However, Shirley teaches a new contract folder which stores a contract document during authoring. In one embodiment, the contract authoring also has a plurality of standard contract documents stored on a computer storage media of the computer. The standard contract documents comprise at least one standard contract having a plurality of standard provisions (Col. 2, lines 37-44). It would have been obvious to one of ordinary skill in the art at the time of invention to have combined the teachings of Miller, Kupiec, and Shirley providing the benefit of having provided storage space for legal documents.*

**In regard to dependent Claim 13 (and similarly to dependent Claim 23),**  
*Miller fails to teach *the data recorded in said lease abstracting fields is selected from a data group consisting of tenant identification, landlord identification, lease term dates, leased space information, landlord costs, minimum rent, recurring charges, percentage rent, non-financial, contacts, lease issues, renewal options, security deposits, late fees, and estoppels.* However, Shirley teaches that the user enters relevant contract data. The data entered during this step may include, for example, the names and addresses of the parties to the contract, the term of the contract, and relevant financial information (Col. 7, lines 22-25). It would have been obvious to one of ordinary skill in the art at the time of invention to combine the teachings of Miller, Kupiec, and Shirley providing the benefit of customizable standard documents.*

**In regard to dependent Claim 14 (and similarly to dependent Claim 24),**  
*Miller fails to teach *generating a customized report of data contained in selected ones of**



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*the contract abstracting fields.* However, Shirley teaches that the user creates one or more redline documents 218. A redline document 218 indicates differences between two specified documents. Thus, when a redline document 218 is generated for two revisions of a single document, the redline document indicates changes (both additions and deletions) that have been made between the different versions of the document. A redline document 218 can be used for two primary purposes. First, a redline document 218 can be generated for two different versions of a single document to indicate the changes that the user is proposing. Second, a redline document 218 can be created between a standard contract document 108 and the most recent revision of a document in the new contract folder 200 to quickly determine the particular changes that have been made to customize the present contract (Col. 7, lines 61-67; Col. 8, lines 1-9). It would have been obvious to one of ordinary skill in the art at the time of invention to combine the teachings of Miller, Kupiec, and Shirley providing the benefit of determining what changes have been made to a document.

6. Claims 16, and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Miller in view of Kupiec and in further view of Jacobs.

**In regard to dependent Claim 16 (and similarly to dependent Claim 26),** Miller fails to teach that *said electronic database is accessible by multiple users at the same time via a global communications network*. However, Jacobs teaches A multi-user system for creating and maintaining a knowledge base is implemented on a variety of computer systems, including single-user personal computers, networked personal

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computers, and data communications networks, including the Internet (see Abstract). It would have been obvious to one of ordinary skill in the art at the time of invention to combine the teachings of Miller, Kupiec, and Jacobs providing the benefit of having maintained a database for multiple users on a global basis.

### ***Response to Arguments***

7. Applicant's arguments with respect to claims 9-16, and 19-26 have been considered but are moot in view of the new ground(s) of rejection.

Specifically, the Applicant argues that prior art references Miller and Kupiec fail to render obvious independent Claims 9, and 19 as amended, which further defines a section summary as comprising "a reconstruction of the contract information in layman's terms chosen by an abstractor". The Examiner agrees and withdraws the rejection.

However, upon further search the Examiner adds the prior art of LegalPoint, in which summaries are rendered in layman's language to allow the creator<sup>and users</sup> of the legal <sup>WB</sup> document to understand, without the benefit of legal council, the terms he does not understand, being a layman.

***Conclusion***

8. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

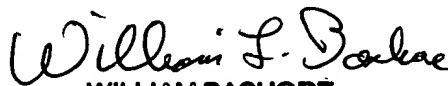
9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to James H. Blackwell whose telephone number is 571-272-4089. The examiner can normally be reached on Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Heather R. Herndon can be reached on 571-272-4136. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

James H. Blackwell  
07/23/05

  
**WILLIAM BASHORE**  
**PRIMARY EXAMINER**  
7/24/2005